

Attorney Docket No.: 9116-552

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Catherine Vigil
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JDS
10/13/01
PATENT

Jc903 U.S. PTO
09/020504
03/29/01

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Applicant: Bakes et al. :
Serial No.: To be assigned : Group Art Unit: To be assigned
Filed: Herewith : Examiner: To be assigned
For: **METHOD AND SYSTEM FOR OPTIMIZING PRODUCT INVENTORY LEVELS**

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

Dear Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98 Applicant herewith submits certain references which the Patent & Trademark Office may wish to consider in examining the above-identified application. The references are listed on the attached Form PTO-1449.

Copies of the listed references are also provided. No representation is made or intended that a prior art search has been made or that no better art than that listed is available.

Please charge any fees required, or credit any overpayment, to Deposit Account No. 04-1133.

Respectfully submitted,

By John V. Harmeyer
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PTO FORM 4/92

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS

FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

ATTY DOCKET: 9116-552

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Exam Init.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	4 8 8 7 2 0 7	12/12/89	Natarajan		
	5 1 2 8 8 6 1	07/07/92	Kagami et al.		
	5 2 3 7 4 9 6	08/17/93	Kagami et al.		
	5 2 8 7 2 6 7	02/15/94	Jayaraman et al.		
	5 5 9 6 4 9 3	01/21/97	Tone et al.		
	5 6 0 8 6 2 1	03/04/97	Caveney et al.		
	5 7 6 5 1 4 3	06/09/98	Sheldon et al.		
	5 7 8 9 7 3 2	08/04/98	McMahon et al.		
	5 8 1 9 2 3 2	10/06/98	Shipman		
	5 8 9 7 6 2 4	04/27/99	Ramaswamy		
	5 9 3 0 7 6 2	07/27/99	Masch		
	5 9 4 6 6 6 2	08/31/99	Ettl et al.		

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS

OTHER ART (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

	Wheeler, Donald J., Chambers, David S., <i>Understanding Statistical Process Control</i> , Second Edition, SPC Press, © 1992, Chapters 1-5.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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FORM PTO-1449

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Exam Init.		DOCUMENT NUMBER							DATE	NAME	CLASS	SUB CLASS
		5	9	5	3	7	0	7	09/14/99	Huang et al.		
		5	9	6	3	9	1	9	10/05/99	Brinkley et al.		
		6	0	0	6	1	9	6	12/21/99	Feigin et al.		
		6	0	0	6	2	0	2	12/21/99	Ramaswamy et al.		
		6	0	2	1	3	9	6	02/01/00	Ramaswamy et al.		
		6	0	3	2	1	2	5	02/29/00	Ando		
		6	0	7	8	9	0	0	06/20/00	Ettl et al.		
		6	1	4	4	9	4	5	11/07/00	Garg et al.		
		6	1	5	1	5	8	2	11/21/00	Huang et al.		

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